

04-09-01.

**UTILITY PATENT APPLICATION TRANSMITTAL**  
(Only for new nonprovisional applications under 37 CFR 1.53(b))

Docket No. : 41727/JWP/C766  
Inventor(s) : JULIE A. KORNFIELD and MICHAEL KEMP  
Title : POLYMERS FOR CONTROL OF ORIENTATION AND STABILITY OF LIQUID CRYSTALS  
Express Mail Label No. : EL483650665US

**ADDRESS TO:** Assistant Commissioner for Patents  
Box Patent Application  
Washington, D.C. 20231

Date: April 5, 2001

1. ☐ **FEE TRANSMITTAL FORM** (Submit an original, and a duplicate for fee processing).
2. **IF A CONTINUING APPLICATION**  
☐ This application is a of patent application No. .  
Prior application information: Examiner ; Group Art Unit:  
  
☒ This application claims priority pursuant to 35 U.S.C. §119(e) and 37 CFR §1.78(a)(4), to provisional Application No. 60/194,990, filed April 5, 2000.
3. **APPLICATION COMPRISED OF**  
  
**Specification**  
☐ 24 Specification, claims and Abstract (total pages)  
  
**Drawings**  
☐ 12 Sheets of drawing(s) (FIGS. 1 to 9)  
  
**Declaration and Power of Attorney**  
☐ Newly executed  
☒ Unexecuted declaration  
☐ Copy from a prior application (37 CFR 1.63(d))(for continuation and divisional)
4. ☐ **Microfiche Computer Program** (Appendix)
5. ☐ **Nucleotide and/or Amino Acid Sequence Submission** (if applicable, all necessary)  
☐ Computer Readable Copy  
☐ Paper Copy (identical to computer copy)  
☐ Statement verifying identity of above copies
6. **APPLICANT(S) STATUS UNDER 37 CFR § 1.27**  
☒ Applicant(s) and any others associated with it/them under § 1.27(a) are a SMALL ENTITY
7. **ALSO ENCLOSED ARE**  
☐ Preliminary Amendment

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UTILITY PATENT APPLICATION**

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**FEE DETERMINATION**

| CLAIMS AS FILED                            |                 |                 |                      |                      |          |
|--|-----------------|-----------------|----------------------|----------------------|----------|
|  | NUMBER<br>FILED | NUMBER<br>EXTRA | SMALL<br>ENTITY RATE | LARGE<br>ENTITY RATE | FEE      |
| TOTAL CLAIMS                               | 55 - 20         | 35              | 35 x \$9.00          | x \$18.00            | \$315.00 |
| INDEPENDENT CLAIMS                         | 5 - 3           | 2               | 2 x \$40.00          | x \$80.00            | \$80.00  |
| MULTIPLE-DEPENDENT CLAIMS FEE              |                 |                 | \$135.00             | \$270.00             |          |
| BASIC FEE                                  |                 |                 | \$355.00             | \$710.00             | \$355.00 |
| TOTAL FILING FEE                           |                 |                 |                      |                      | \$750.00 |
| List Independent Claims: 1, 27, 29, 43, 46 |                 |                 |                      |                      |          |

**METHOD OF PAYMENT**

- ☒ No filing fee enclosed
- ☒ No Deposit Account Authorization.

Respectfully submitted,

CHRISTIE, PARKER & HALE, LLP

By John W. Peck  
John W. Peck  
Reg. No. 44,284  
626/795-9900

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- ☐ A Petition for Extension of Time for the parent application and the required fee are enclosed as separate papers
- ☐ An Assignment of the invention with the Recordation Cover Sheet and the recordation fee are enclosed as separate papers
- ☐ This application is owned by pursuant to an Assignment recorded at Reel , Frame
- ☐ Information Disclosure Statement (IDS)/PTO-1449
- ☐ Copies of IDS Citations
- ☐ Certified copy of Priority Document(s) (*if foreign priority is claimed*)
- ☐ English Translation Document (*if applicable*)
- ☒ Return Receipt Postcard (MPEP 503) (should be specifically itemized).
- ☐ Other

**8. CORRESPONDENCE ADDRESS**

**CHRISTIE, PARKER & HALE, LLP, P.O. BOX 7068, PASADENA, CA 91109-7068**  
**Customer Number: 23363**

Respectfully submitted,

CHRISTIE, PARKER & HALE, LLP

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JWP/rlm